

Grant Streamlining Efforts:

The New Grants Governance Structure for
Government-wide Grants Initiatives

UPDATES FROM NOAA'S GRANTS

MANAGEMENT DIVISION

NESDIS Administrators' Meeting

June 21, 2005

Part III

Grants Policy Committee Grants Management Line of Business

Grants.gov/Grants Executive Board

- What they are
- How they work together
- Why they are important to you

Grants Policy Committee

Grants Management Line of Business

Grants.gov/Grants Executive Board

- President's Management Agenda
- E-gov initiatives
- PL 106-107
- GAO Report on PL 106-107
- All share common themes and vision
 - Consolidation
 - Coordination
 - Communication
 - Customer Service

Grant Streamlining Vision

Pre-Award

Award

Post-Award/Closeout

**Grants policy
streamlining**

PL 106-107 Workgroups



Back-office

GM LoB



Front-office

Grants.gov

Grants Streamlining

- Big dollars spread over many agencies/programs:
 - \$ 540 B
 - 26 agencies
 - > 900 programs
- Significant spending on Grant Management systems. Fiscal Year 2005:
 - \$144 million Development Modernization and Enhancement
 - \$3.8 billion in Operations and Maintenance
- Opportunities for increased efficiencies, improved oversight and management, and customer-centric focus

Grants Policy Committee

- Chief Financial Officers Council
- Executive Sponsor
 - Office of Federal Financial Management, OMB
 - Linda Combs, Controller
- Oversight of the PL 106-107 activities
- Coordination with other ongoing federal grants streamlining efforts
- PMO – Health and Human Services (HHS)

GPC Vision: November 2007 – The P.L. 106-107 Vision

- One place for all grants administration policies
 - Title 2 Code of Federal Regulations
- One Catalog with all assistance programs listed
 - CFDA registration required
- One announcement format of assistance opportunities
 - Standard Format, regardless of where posted
 - Announcement synopses available electronically

GPC Vision: November 2007 – The P.L. 106-107 Vision (continued)

- One electronic portal for grantees:
 - To register (CCR; profiles; certifications and assurances)
 - To search (FIND; links to full announcements; links to CFDA)
 - To apply (APPLY; using government-wide standard data sets)
 - Research
 - Arts and humanities
 - Education/training
 - Transportation infrastructure
 - Health and social services
 - Disaster assistance
 - Mandatory grants to States

GPC Vision: November 2007 – The P.L. 106-107 Vision (continued)

- To receive (electronic award with government-wide standard terms/conditions)
- To find government-wide award data with easy query tool
- To access payment systems (single gateway to all payment systems)
- To submit electronic reports (standard financial; standard performance; unique project reporting)
- To submit audits/access Federal Clearinghouse

Vision Components Completed

- One place for policies:
 - Title 2 Code of Federal Regulations established
 - 4 OMB Circulars re-located
 - Cost consistency complete across 3 Circulars
 - Suspension/debarment guidance published
- One Catalog:
 - CFDA available on the web
- One Announcement format
 - Policy issued effective Oct 2003

Vision Components Completed (continued)

- One electronic portal
 - Grants.gov operational
 - DUNS policy issued and effective Oct 2003
 - FIND standard data elements done/policy issued and effective Oct 2003
 - Core SF-424 application in use with APPLY as of Nov 2003
 - Research & Related 424 in use with APPLY as of Jan 2005
 - Other SF-424s available in APPLY (EZ, individual, mandatory)

Vision Components Underway

- CCR registration policy just starting
- Standard award terms and conditions to be proposed in 2006
- Standard award notice to be proposed in 2006
- Proposal for handling certifications and assurances in 2006
- Standard financial reporting -FFR being tested Oct 05 – Mar 06
- Standard performance reporting – PPR draft ready for public comment
- Summary invention reporting – new policy for GPC review Nov 06
- Grantee real and tangible personal property reporting – underway
- Standards for Grant Specialist/Grants Management Officer training and certification – agency survey underway
- Agency relocations of Suspension/debarment regulations 2 CFR – underway and to be complete by end of 2006

Vision Actions to Be Done (Future or Holes)

- Examples:
 - A-89 needs revision to strengthen CFDA requirement
 - APPLY application data sets need greater standardization
 - APPLY policy to be developed
 - Electronic AWARD to be started
 - Electronic REPORT to be started
 - Consolidating payment systems to be revived/single gateway
 - And others.....

Grants Policy Committee - Structure

- Co-Chairs: Tom Cooley, CFO, NSF
And ????

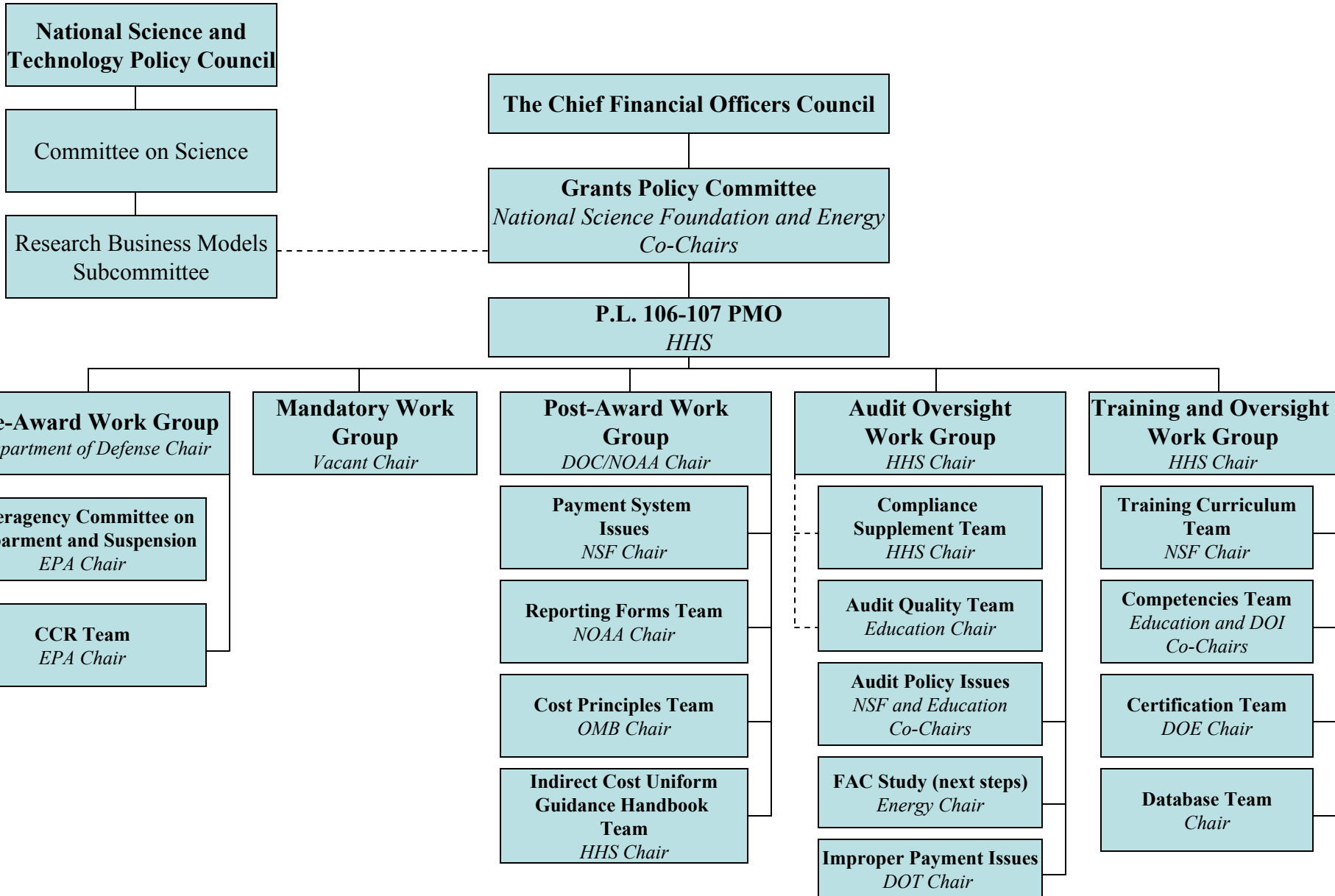
Members:

- Grants Policy Officers from Federal grants agencies
- Liaisons to the Grants Executive Board and the Grants Management Line of Business

Grants Policy Committee - Structure

- Five Working Groups:
 - Pre-Award
 - Post-Award
 - Audit and Oversight
 - Mandatory
 - Training and Certifications

The Federal Grant Streamlining Program



Current Pre-Award Work Group Issues

- 1) Grants.gov FIND
- 2) Announcement Format
- 3) Certifications and Assurances
- 4) Suspensions and Debarments
- 5) National Policy Requirements
- 6) Administrative Requirements
- 7) Clean-Up Work

A-89, pre-award policies

Current Post-Award Work Group Issues

- 1) FFR pilot with PMS
- 2) Performance Progress Report (PPR)
- 3) Real Property/Personal Property Reports
- 4) Inventions Report

Current Audit Work Group Issues

- 1) Compliance Supplement
- 2) Audit Policy Issues
- 3) Improper Payments
- 4) Internal Controls
- 5) Federal Audit Clearinghouse
- 6) RBM – Sub recipient Monitoring

Involving Stakeholders

- Dialogues continue with community-YOU!
- Stakeholder input
 - Federal Register Notice & “sign up” for meetings/webcasts-pending
 - Email link for ad hoc stakeholder feedback:
PL106107@hhs.gov
 - Purpose: Feds “listen” to customer concerns and recommendations and then prioritize and get on with it

Grants Management Line of Business

Lines of Business Opportunities

- Common Solution
 - A business process and/or technology based shared service made available to government agencies.
- Business Driven (vs. Technology Driven)
 - Solutions address distinct business improvements that directly impact LoB performance goals.
- Developed Through Architectural Processes
 - Solutions are developed through a set of common and repeatable processes and tools.
- Current LoBs
 - Financial Management
 - Human Resources Management
 - Grants Management
 - Federal Health Architecture
 - Case Management
 - IT Security
 - Geospatial
 - Budget

GMLoB – Vision & Goals

Vision	A government-wide solution to support end-to-end grants management activities that promote citizen access, customer service, and agency financial and technical stewardship.
Goals	<ul style="list-style-type: none">• Improve customer access to grant opportunities• Increase efficiency of the submission process• Improve decision making• Integrate with Financial Management processes• Improve the efficiency of the reporting procedures in order to increase the usable information content• Optimize the post-award and closeout actions

GMLoB Participating Agencies

Managing Partners:

- National Science Foundation
- Department of Health and Human Services

Participating Agencies:

- Department of Agriculture
- Department of Veterans Affairs
- Department of Commerce
- Agency for International Development
- Department of Defense
- Corporation for National Service
- Department of Education
- Environmental Protection Agency
- Department of Energy
- Institute for Museum and Library Services
- Department of Housing and Urban Development
- NASA
- Department of the Interior
- National Archives and Records Administration
- Department of Justice
- National Endowment for the Arts
- Department of Labor
- National Endowment for the Humanities
- Department of State
- Department of Transportation
- Small Business Administration
- Department of the Treasury
- Social Security Administration

GMLoB - Accomplishments

- GMLoB operating model and approach
- Common Grants Management process
- Evaluation of Request for Information
- Common solution white paper
- Target architecture
- Business case development

GMLoB – Current Status

- Governance established
 - HHS and NSF to be co-leads in implementation
 - Grants Executive Board to provide guidance and oversight
 - Grants Policy Committee to continue Policy Development and input and liaison to Grants Executive Board
- Grants Executive Board working with OMB to identify initial 3 Consortia Leads
 - Announced in budget on February 6, 2006
 - Additional Consortia may be named
- Identifying government-wide streamlining

GMLoB - Process for Identifying Consortia

- Purpose
 - Develop transparent process for the grant-making community to recommend initial 3 consortia that are most prepared to move ahead
- Outcome
 - At least three named consortia
 - Agreement by the grants-making community to move forward with the named consortia
- Approach
 - Asked agencies to submit a “Declaration of Intent” to communicate interest in leading a consortium or participating in one as a member
 - Assembled committee out of the Grants Executive Board to rank Declarations of Intent and

GMLoB – Next Steps

- Announced initial 3 consortia leads–
 - Administration for Children & Families, Department of Health and Human Resources (ACF/HHS)
 - Department of Education
 - NSF
- Reach out to grantee and grant-making community
- Support consortia activities, service center implementation, and agency migration
- Provide governance support, planning for back-office processes, and systems reengineering
- Share learning across consortia

